

JC904 U.S. PTO  
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EXPRESS MAIL LABEL NO. EL685693805US  
DATED: October 3, 2001

ATTY DOCKET: FSTK 1002-0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Commissioner of Patents  
Washington, D.C. 20231

11011 U.S. PTO  
09/970182  
10/03/01

Sir:

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**

Enclosed for filing is [X] an original patent application or, [ ] a continuation-in-part patent application by **Michael G. Kahn, Michael Mischke-Reeds and John H. Nguyen** for **TIMELINE FORECASTING FOR CLINICAL TRIALS.**

Also enclosed are:

- [X] Forty (40) sheet(s) of [ ] formal [X] informal drawings;
  - [X] Seventy-two (72) sheets of specification, claims and abstract;
  - [X] Application Data Sheet;
  - [X] **Request for Non-Publication Under 35 USC 122(b)(2)(B)(i);**
  - [X] Three executed Declaration for Utility Patent Application forms;
  - [X] Three Power of Attorney forms executed by the inventors;
  - [X] ✓ Three executed Joint to Corporate Assignment forms with form PTO-1595;
  - [X] A Power Of Attorney form executed by Assignee of Record; and
  - [X] An executed Statement Under 37 CFR 3.73(b) with copy of executed Assignment
- In addition:
- [X] **Applicant claims small entity status.**

The fee has been calculated as follows:

CLAIMS					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$370.00
Total Claims	63	MINUS 20 =	43	X \$9.00 =	387.00
Independent Claims	2	MINUS 3 =	0	X \$42.00 =	0
Total Application Fee					757.00
Add Recording Fee of \$40.00 if Assignment document is enclosed					40.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$ 797.00</b>

- [X] Check No. 1448 in the amount of \$797.00 is enclosed.
  - [ ] Charge \$\_\_\_\_\_ to Deposit Account No. 50-0869.
  - [ ] The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment, to Deposit Account No. 50-0869 (FSTK 1002-0)
- Two copies of this transmittal letter are enclosed.**

Date: 3 October 2001.

HAYNES BEFFEL & WOLFELD LLP  
P.O. Box 366  
Half Moon Bay, CA 94019  
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Respectfully submitted,

By: Warren S. Wolfeld  
Warren S. Wolfeld, Reg. No. 31,454

CUSTOMER NO. 22470

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**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

MICHAEL G. KAHN

Title

TIMELINE FORECASTING FOR CLINICAL TRIALS

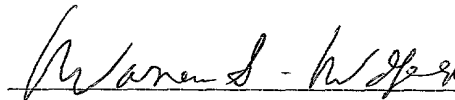
Atty Docket Number

FSTK 1002-0US

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

10/3/2001

Date



Signature

WARREN S. WOLFELD

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Michael G. Kahn, Michael Mischke-Reeds and John H. Nguyen  
 Application No./Patent No.: Unknown Filed/Issue Date: Concurrently Herewith  
 Entitled: TIMELINE FORECASTING FOR CLINICAL TRIALS  
FastTrack Systems, Inc., a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
 2. ☐ an assignee of less than the entire right, title and interest.  
 The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.  
 2. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.  
 3. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.  
 [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

X 22 Sep 2001  
 Date

Michael G. Kahn

X Michael G. Kahn  
 Signature

Vice President

Title